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PERKINS COIE LLP			JOHNSON, CARLTON	
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SHORTENED STATUTORY PERIOD OF RESPONSE		MAIL DATE	DELIVERY MODE	
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>
	10/617,607	ONG, PENG T.
	<b>Examiner</b> Carlton V. Johnson	<b>Art Unit</b> 2136

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

1) Responsive to communication(s) filed on 26 December 2006.

2a) This action is FINAL.                    2b) This action is non-final.

3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

4) Claim(s) 1-20 is/are pending in the application.

4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

5) Claim(s) \_\_\_\_\_ is/are allowed.

6) Claim(s) 1-20 is/are rejected.

7) Claim(s) \_\_\_\_\_ is/are objected to.

8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

9) The specification is objected to by the Examiner.

10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a) All    b) Some \* c) None of:

1. Certified copies of the priority documents have been received.
2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

1) <input type="checkbox"/> Notice of References Cited (PTO-892)	4) <input type="checkbox"/> Interview Summary (PTO-413)
2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Date. _____
3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date _____	5) <input type="checkbox"/> Notice of Informal Patent Application
	6) <input type="checkbox"/> Other: _____

## DETAILED ACTION

### *Double Patenting*

Claims **1, 5, 7, 8, 11, 15, 18, 19** are rejected under 35 U.S.C. 101 as claiming the same invention as that of claims **32, 33, 36, 37** of prior U.S. Patent No. 10/383419. This is a double patenting rejection.

1. This action is responding to application papers filed on **12-26-2006**.
2. Claims **1 - 20** are pending. Claims **1, 2, 3, 10, 11, 12, 13, 15** have amended. Claims **16 - 20** are new. Claims **1, 11, 15, 16, 17, 18** are independent.

### *Response to Remarks*

3. The following is in response to applicant's remarks date 12-26-2007.
  - 3.1 Applicant argues that the referenced prior art does not disclose, "*... double patenting issue ...*".

The double patenting application is application number 10/383419. The double patenting rejection has not been overcome and remains.

- 3.2 Applicant argues that the referenced prior art does not disclose, "*... user profiles are credential containers or directories of user names, passwords, or other authentication information ...*". (see Remarks Page 11)

The Schaeck prior art discloses the usage of a user profile, which is a user account. In addition, Schaeck discloses the usage of user names and passwords as authentication credentials. (see Schaeck paragraph [0059], lines 16-24: password, authentication information utilized for access to application (i.e. services))

3.3 Applicant argues that the referenced prior art does not disclose, “*... displaying the view to an administrator ...*”. (see Remarks Page 11)

The Schaeck prior art discloses the view of the list of applications being viewable by an administrator. (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications; paragraph [0045], lines 7-12: role of administrator, view of application (i.e. services)))

3.4 Applicant argues that the referenced prior art does not disclose, “*... the views of the applicant are not limited to Internet-based resources in this way ...*”. (see Remarks Page 11)

The term “Internet” is not mentioned in the claimed invention. There are no restrictions based on the usage of the Internet. There is no restriction based on using the Internet and other communications network.

3.5 Applicant argues that the referenced prior art does not disclose, “*... totality of applications ...*”. (see Remarks Pages 12, 13, 14)

The term " totality" is not mentioned in the specification or the original claims. This is new matter and will not be addressed. The specification mentions a plurality or multiple applications accessible by user.

3.6 Applicant argues that the referenced prior art does not disclose, "*... injecting authentication ...*". (see *Remarks Page 15*)

The Schaeck prior art discloses the capability to inject previously stored authentication credentials into a current authentication procedure. (see Schaeck paragraph [0059], lines 16-24: password, authentication information injected (i.e. presented))

3.7 Applicant argues that the referenced prior art does not disclose, "*... creation of a user account ...*". (see *Remarks Page 15*)

A user profile is a user account. A user account is information such as a password and username, which enable a user to access a computer system.  
The Schaeck prior art discloses a user profile (i.e. user account)

3.8 The examiner has considered the applicant's remarks concerning the method and apparatus for automatic user authentication and capability to identify and display a view of the plurality of applications accessible by a user based on the user's role.  
Applicant's arguments have thus been fully analyzed and considered but they are not persuasive.

After an additional analysis of the applicant's invention, remarks, and a search of the available prior art, it was determined that the current set of prior art consisting of Schaeck (20030163513) and Delany (20020138763) discloses the applicant's invention including disclosures in Remarks dated December 26, 2006.

***Claim Rejections - 35 USC § 102***

4. The following is a quotation of 35 U.S.C. 102(e) which forms the basis for all obviousness rejections set forth in this Office action:

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

5. Claims 1, 3, 6, 11, 13, 15, 16, 17 are rejected under 35 U.S.C. 102(e) as being anticipated by **Schaeck et al.** (US Patent No. 20030163513).

**Regarding Claims 1, 11, 15,** Schaeck discloses a method, apparatus for providing a system administrator with a view of a totality of application accessible by a user, comprising:

a) identifying a totality of applications (see Schaeck paragraph [0037], lines 1-5: service equivalent to application; paragraph [0066], lines 5-10: aggregation of services or applications) accessible by a user by examining authentication credential container of the user; (see Schaeck paragraph [0066], lines 1-5,

paragraph [0081], lines 1-11: examine user authentication credentials; paragraph [0022], lines 1-3: profile or credentials container) and

- b) generating a view of the totality of applications accessible by the user. (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications; paragraph [0044], lines 1-4: user actions, accessible by the user)
- c) a view of display to display the view of the totally of applications accessible by the user to the administrator. (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications; paragraph [0045], lines 7-12: role of administrator, view of application (i.e. services))

**Regarding Claims 3, 13,** Schaeck discloses the method, apparatus of claims 1, 11 further comprising providing an interface to assist in removing access to an application from the totality of the applications by utilizing the view of the totality of the applications accessible by the user. (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications; paragraph [0043], lines 9-15: delete or remove access to a service or application)

**Regarding Claim 6,** Schaeck discloses the method of claim 3 wherein the removing is performed automatically. (see Schaeck paragraph [0044], lines 1-10: data-oriented or presentation interface, data-oriented interface designates an automatic interface

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between client and server; paragraph [0043], lines 9-15: delete or remove access to a service or application)

**Regarding Claim 16**, Schaeck discloses a method for providing a system administrator with a list of a totality of applications accessible by a user, comprising:

- a) identifying the totality of applications accessible by the user by examining an authentication credential container of the user; (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications; paragraph [0045], lines 7-12: role of administrator, view of application (i.e. services)); (There is no disclosure in the specification or the original claims to display a totality of applications accessible. This is new matter and will not be addressed)
- b) generating a list of the totality of applications accessible by the user; (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications; paragraph [0045], lines 7-12: role of administrator, view of application (i.e. services)); (There is no disclosure in the specification or the original claims to display a totality of applications accessible. This is new matter and will not be addressed) and
- c) displaying the list to the administrator. (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications; paragraph [0045], lines 7-12: role of administrator, view of application (i.e. services))

**Regarding Claim 17,** Schaeck discloses a method for providing a system administrator with a list of a totality of applications accessible by a user together with any user names and passwords used in connection with those applications, comprising:

- a) identifying the totality of applications accessible by the user and any user names and passwords used in connection with the totality of applications by examining an authentication credential container of the user; (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications; paragraph [0045], lines 7-12: role of administrator, view of application (i.e. services)); (There is no disclosure in the specification or the original claims to display a totality of applications accessible. This is new matter and will not be addressed)
- b) generating a list of the totality of applications accessible by the user together with any user names and passwords used in connection with the totality of applications; (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications; paragraph [0045], lines 7-12: role of administrator, view of application (i.e. services)); (There is no disclosure in the specification or the original claims to display a totality of applications accessible. This is new matter and will not be addressed) and
- c) displaying the list to the administrator. (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services

or applications; paragraph [0045], lines 7-12: role of administrator, view of application (i.e. services))

***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 2, 4, 5, 7 - 10, 12, 14, 18 - 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schaeck in view of Delany et al. (US Patent No. 20020138763).

**Regarding Claims 2, 12,** Schaeck discloses the method, apparatus of claims 1, 11 wherein the view of the totality of the applications. (see Schaeck paragraph [0068], lines 4-8: aggregated view of services or applications based on user role view; paragraph [0043], lines 5-7: multiple services or applications) Schaeck does not specifically disclose a consolidated directory of the plurality of the applications. However, Delany discloses wherein a consolidated directory of the plurality of the applications. (see Delany paragraph [0113], lines 13-18, paragraph [0129], lines 16-20: LDAP, consolidated user based directory information)

It would have been obvious to one of ordinary skill in the art to have modified Schaeck as taught by Delany to enable a consolidated directory of the plurality of the applications. One of ordinary skill in the art would have been motivated to employ the teachings of Delany in order to, within a consolidated view or a single source, enable the addition and removal of directory entry attributes for an existing group. (see Delany paragraph [0014], lines 4-7: “*... Over time, an administrator may discover that using a dynamic membership rule is a mistake for this group because the number of members is growing too fast. ...*”, paragraph [0014], lines 10-14: “*... since the group has already been created and used, prior systems do not allow for the modification of the group by removing the attribute for storing a dynamic membership rule. ...*”)

**Regarding Claims 4, 14,** Schaeck discloses the method, apparatus of claims 1, 11 further comprising:

Schaeck discloses wherein the user utilizing the generated view. (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications), and injecting authentication information of the user account into the authentication credential container of the user. (see Schaeck paragraph [0052], lines 11-15: script program utilizing in the processing of authentication information, authentication information placed or “injected” within authentication process via script technology) Schaeck does not specifically disclose creating a user account for a new application to be accessible by the user.

However, Delany discloses:

- a) creating a user account for a new application to be accessible by the user; (see Delany paragraph [0108], lines 1-8; paragraph [0109], lines 12-16: create user accounting information) and
- b) authentication information of the user account into the authentication credential container of the user. (see Delany paragraph [0108], lines 1-8; paragraph [0109], lines 12-16: create user accounting information)

It would have been obvious to one of ordinary skill in the art to have modified Schaeck as taught by Delany such that the authentication credential container is stored at a server. One of ordinary skill in the art would have been motivated to employ the teachings of Delany in order to, within a single source or consolidate view, enable the addition and removal of user accounting and authentication attributes for an existing group using a server or a centralized source. (see Delany paragraph [0014], lines 4-7; paragraph [0014], lines 10-14)

**Regarding Claim 5,** Schaeck discloses the method of claim 4 wherein the authentication credential container and a server. (see Schaeck paragraph [0066], lines 1-5, paragraph [0081], lines 1-11: examine user authentication credentials; paragraph [0051], lines 1-6; paragraph [0075], lines 1-4: authentication server system; paragraph [0022], lines 1-3: profile or credentials container) Schaeck does not specifically disclose wherein the authentication credential container stored at a server. However, Delany discloses wherein authentication credential container is stored at a server. (see Delany

paragraph [0128], lines 1-3; paragraph [0129], lines 1-4: database manager, profile or authentication information under control of directory server and database server)

It would have been obvious to one of ordinary skill in the art to have modified Schaeck as taught by Delany such that the authentication credential container is stored at a server. One of ordinary skill in the art would have been motivated to employ the teachings of Delany in order to enable, within a single source or a consolidate view from a server, centralized access to user accounting and authentication attributes for an existing group. (see Delany paragraph [0014], lines 4-7; paragraph [0014], lines 10-14)

**Regarding Claim 7,** Schaeck discloses the method of claim 4 wherein processing user account information is performed automatically. (see Schaeck paragraph [0044], lines 1-10: data-oriented or presentation interface, data-oriented interface designates an automatic interface) Schaeck does not specifically disclose the creation of a user account. However, Delany discloses wherein creating the user account. (see Delany paragraph [0108], lines 1-8; paragraph [0109], lines 12-16: create user accounting information)

It would have been obvious to one of ordinary skill in the art to have modified Schaeck as taught by Delany to create the user account. One of ordinary skill in the art would have been motivated to employ the teachings of Delany in order to enable the utilization of multiple methods, such as a manual or an automatic method, for the update of user attributes. (see Delany paragraph [0014], lines 4-7; paragraph [0014], lines 10-14)

**Regarding Claim 8,** Schaeck discloses the method of claim 4 wherein processing the user account information is performed manually by an administrator. (see Schaeck paragraph [0044], lines 1-10: data-oriented or presentation interface, presentation interface designates a manual interactive interface) Schaeck does not specifically disclose the creation of a user account. However, Delany discloses wherein creating the user account. (see Delany paragraph [0108], lines 1-8; paragraph [0109], lines 12-16: create user accounting information)

It would have been obvious to one of ordinary skill in the art to have modified Schaeck as taught by Delany to creating the user account. One of ordinary skill in the art would have been motivated to employ the teachings of Delany in order to enable the utilization of multiple methods, such as a manual or an automatic method, for the update of user attributes. (see Delany paragraph [0014], lines 4-7; paragraph [0014], lines 10-14)

**Regarding Claim 9,** Schaeck discloses the method of claim 4 wherein the authentication information is injected into a hardware device of the user. (see Schaeck paragraph [0052], lines 11-15: script program utilizing in the processing of authentication information, authentication information placed or “injected” within authentication process via script technology) Schaeck does not specifically disclose creating a user account for a new application to be accessible by the user. However,

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Delany discloses wherein creating a user account. (see Delany paragraph [0108], lines 1-8; paragraph [0109], lines 12-16: create user accounting information)

It would have been obvious to one of ordinary skill in the art to have modified Schaeck as taught by Delany to creating a user account. One of ordinary skill in the art would have been motivated to employ the teachings of Delany in order to enable the utilization of multiple methods, such as an automatic or script technology method for the update of user attributes. (see Delany paragraph [0014], lines 4-7; paragraph [0014], lines 10-14)

**Regarding Claim 10,** Schaeck discloses the method of claim 1 further comprising user directories for each application of the plurality of the applications accessible by the user. (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications) Schaeck does not specifically disclose removing individual directories for each application. However, Delany disclose wherein removing individual user directories for each application. (see Delany paragraph [0108], lines 1-8; paragraph [0109], lines 12-16: delete or remove user accounting information or user directories)

It would have been obvious to one of ordinary skill in the art to have modified Schaeck as taught by Delany to enable removing individual user directories for each application. One of ordinary skill in the art would have been motivated to employ the teachings of Delany in order to enable, within a single source or consolidate view, enable the addition and removal of user accounting and authentication attributes for an

existing group using a centralized source. (see Delany paragraph [0014], lines 4-7; paragraph [0014], lines 10-14)

**Regarding Claim 18,** Schaeck discloses a method for providing a system administrator with a consolidated directory of a totality of applications accessible by a user, the method comprising:

- a) identifying the totality of applications accessible by the user by examining authentication credential container of the user; (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications; paragraph [0045], lines 7-12: role of administrator, view of application (i.e. services)); (There is no disclosure in the specification or the original claims to display a totality of applications accessible. This is new matter and will not be addressed)
- b) generating a directory of the totality of applications accessible by the user; (see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of accessible services or applications; paragraph [0045], lines 7-12: role of administrator, view of application (i.e. services)); (There is no disclosure in the specification or the original claims to display a totality of applications accessible. This is new matter and will not be addressed) and
- c) displaying the directory to the administrator; ((see Schaeck paragraph [0043], lines 5-7; paragraph [0068], lines 4-8: view list of multiple or plurality of

accessible services or applications; paragraph [0045], lines 7-12: role of administrator, view of application (i.e. services)))

the directory comprising:

- d) a name of the user; (see Schaeck paragraph [0059], lines 16-24: userid (i.e. other credentials), password)
- e) a list of keys employed by the user also detailing the type and serial number of each key; (There is no disclosure in the specification or the original claims that keys are stored in the directory. This is new matter and will not be addressed.)
- h) a list of all certificate enabled applications accessible by the user also specifying a user name of the user and a last login attempt of the user; (There is no disclosure in the specification or the original claims that a last login attempt is stored or processed, and to display a list of all certificate enabled applications. This is new matter and will not be addressed)
- j) a list of all enterprise applications accessible by the user also specifying a user name of the user and a last login attempt of the user; (There is no disclosure in the specification or the original claims that a last login attempt is stored or processed. This is new matter and will not be addressed) and
- k) a list of all personal application accessible by the user also specifying a number of accounts connected to each personal application; (There is no disclosure in the specification or the original claims to display a list of the number of accounts connected to each personal application. This is new matter and will not be addressed)

However, Delany discloses:

- f) a profile of the user detailing a role of the user, a name of the user, an email address of the user, a department of the user, an employee ID of the user, and any additional attributes of the user that have been specified; (see Schaeck paragraph [0108], lines 1-8; paragraph [0109], lines 12-16: process user profile information, account, role of user, administrator)
- g) a means of updating and resetting the profile; (see Delany paragraph [0108], lines 1-8; paragraph [0109], lines 12-16: update profile information)
- i) a means of deleting the user name of the user; (see Delany paragraph [0108], lines 1-8; paragraph [0109], lines 12-16: remove user profile (i.e. account) information)

It would have been obvious to one of ordinary skill in the art to have modified Schaeck as taught by Delany to enable the processing of user profile (i.e. user account) information. One of ordinary skill in the art would have been motivated to employ the teachings of Delany in order to enable, within a single source or consolidate view, enable the addition and removal of user accounting and authentication attributes for an existing group using a centralized source. (see Delany paragraph [0014], lines 4-7; paragraph [0014], lines 10-14)

**Regarding Claim 19,** Schaeck discloses the consolidated directory of claim 18, further comprising: a specification of a password for each certificate enabled application, each

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enterprise application, and each personal application. (see Schaeck paragraph [0059], lines 16-24: password required for access to applications)

**Regarding Claim 20,** Schaeck discloses the consolidated directory of claim 18, further comprising:

- a) means for a system administrator to add one or more applications to the lists of the certificate enabled applications, the enterprise applications, or the personal applications of the user; (see Schaeck paragraph [0043], lines 13-15: add or update application list) and
- b) means for a system administrator to delete one or more applications from the lists of the certificate enabled applications, the enterprise applications, or the personal applications. (see Schaeck paragraph [0043], lines 13-15: delete accounts)

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Carlton V. Johnson whose telephone number is 571-270-1032. The examiner can normally be reached on Monday thru Friday , 8:00 - 5:00PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nasser Moazzami can be reached on 571-272-4195. The fax phone

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number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

NASSER MOAZZAMI  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2100

Carlton V. Johnson  
Examiner  
Art Unit 2136

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CVJ  
March 28, 2007

*4/2/07*